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Deposited: March 21, 2002

I hereby certify that this correspondence is being deposited with the United States Postal Service Express mail under 37 CFR 1.10 on the date indicated above and is addressed to Assistant Commissioner for Patents, Washington, DC 20231

Ruth Montalvo

/ Ruth Montalvo

Assistant Commissioner for Patents
Washington, DC 20231

Date: March 21, 2002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

UTILITY PATENT APPLICATION TRANSMITTAL (Only for new nonprovisional applications under CFR 1.53(b))

| | |
|--------------|---|
| Docket No.: | JG-YY-5032C/500569.20090 |
| Inventor(s): | Tadahisa SATO, Koki NAKAMURA, Horoshi SHINOKI |
| Title: | DNA CHIP AND ITS PREPARATION |
| Examiner: | J. Riley |
| Group: | 1656 |

APPLICATION ELEMENTS (See MPEP chapter 600 concerning utility patent application contents)

- ☒ 1. Fee Transmittal Form (attachment)
- ☒ 2. Specification, Claims, Abstract [Total pages 18]
- ☐ 3. Drawing(s) (35 USC 113) [Total sheets]
- ☒ 4. Oath or Declaration [Total pages: 18]
☐ a. Newly executed (original or copy)
☒ b. Copy from a prior application (37 CFR 1.63(d))
(For continuation/divisional with box 17 completed)
- ☐ i. DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the Prior application see 37 CFR 1.63(d)(2) & 1.33(b).
- ☒ 5. Incorporation by Reference (useable if Box 4b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
- ☐ 6. Microfiche Computer Program (Appendix)
- ☐ 7. Nucleotide and/or Amino Acid Sequence Submission (If applicable, all are required)
☐ a. Computer Readable Copy
☐ b. Paper Copy (identical to computer copy)
☐ c. Statement verifying identity of above copies

RECEIVED
MAR 26 2002
OFFICE OF PETITIONS

Adjustment date: 05/28/2002
03/26/2002 AWBDAF1 00000035 09479703
02 FC:131
05/28/2002 AWBDAF1 00000007 10042703
01 FC:101
03/26/2002 AWBDAF1 00000035 09479703
02 FC:131

ACCOMPANYING APPLICATION PARTS

- ☒ 8. Assignment Papers (cover sheet (PTO-1595) and document(s)) Submitted in Application Serial No. 09/749,703 Recorded on December 27, 2000 under Reel: 11412 and Frame: 0816
- ☐ 9. 37 CFR 3.73(b) Statement ☐ Power of Attorney
(when there is a assignee)
- ☐ 10. English Translation Document (if applicable)
- ☐ 11. Information Disclosure Statement ☐ Copies of IDS citations (refs.)
(IDS) and PTO-1449
- ☐ 12. Preliminary Amendment
- ☒ 13. Return Receipt Postcard (MPEP 503) (should be specifically itemized)
- ☐ 14. Small Entity Statement ☐ Statement filed in prior
application, Status still proper
and desired
- ☒ 15. Certified Copy of Priority Document(s)
(if foreign priority is claimed) Submitted in PARENT application serial number 09/749,703.
- ☐ 16. Other:
- ☒ 17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite
information below and in a preliminary amendment:
☒ Continuation ☐ Divisional ☐ Continuation-In-Part (CIP)
of prior application No. 09/749,703

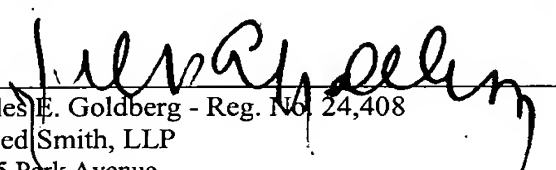
Prior application information: Examiner J. Riley Group: 1646

☒ 18. CORRESPONDENCE ADDRESS

Please direct all communications to Jules E. Goldberg at
the offices of Reed Smith, LLP
375 Park Avenue, 17th Floor, New York, New York 10152, (212) 521-5403.

Respectfully submitted,

March 21, 2002
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Jules E. Goldberg - Reg. No. 24,408
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The PTO did not receive the following
listed items(s) postcard

Fee Transmittal Form

| | |
|--------------|---|
| Docket No.: | JG-YY-5032C/500569.20090 |
| Inventor(s): | Tadahisa SATO; Koki NAKAMURA; Hiroshi SHINOKI |
| Title: | DNA CHIP AND ITS PREPARATION |
| Examiner: | J. Riley |
| Group: | 1656 |

THE FILING FEE HAS BEEN CALCULATED AS SHOWN BELOW:

| | Claims filed | | Extra | SMALL \$ 370.00 | LARGE \$ 740.00 | AMOUNT \$ 740.00 |
|---|-----------------|----------|-------|--------------------|--------------------|---------------------|
| Total Claims | 7 | Minus 20 | 0 | x \$ 9.00 | x \$ 18.00 | \$.00 |
| Independent | 3 | Minus 03 | 0 | x \$ 40.00 | x \$ 80.00 | \$.00 |
| () Multiple dependent claim fee | | | | + \$ 135.00 | + \$ 270.00 | \$.00 |
| () Non-English specification 37 CFR 1.17(k) fee (+ \$130.00): | | | | | | \$.00 |
| Assignment recordation fee (\$ 40.00): | | | | | | \$.00 |
| CHECK ENCLOSED: | | | | | | \$ 740.00 |

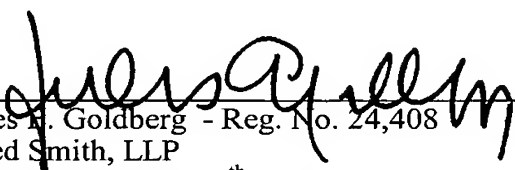
X The Commissioner is hereby authorized to charge any additional fees or credit any overpayment associated with the filing of this paper to Deposit Account No. 50-1529.

- a. X Fees required under 37 C.F.R. 1.16.
b. X Fees required under 37 C.F.R. 1.17.
c. X Fees required under 37 C.F.R. 118.

Respectfully submitted,

March 21, 2002

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